

Appendix A - Contract Procedure Rules - Rule 6 Approved Exceptions 1 July 2017 to 30 June 2018

Ref No.	Contract Title	Contract Description (if required)	Total Contract Value (£)	Approval Type	Rationale	Approved? (Y/N)	Approval Date	Approved By	Category Group	Department
1	Support Children (aged 5-19) affected by Domestic Abuse (DA) as Secondary Victims	Support children (aged 5-19) affected by DA as secondary victims in order to address emotional impact, help the child make sense of their experiences, & to build resilience & aid recovery.	£30,000	Exception - Rule 6(b)(i)	Continuity of service in a critical area is critical to allow sufficient time to work effectively with the market, with partners and with districts to recommission services using pooled resources.	Yes	06/12/17	Paul Meredith	Education & Early Help Services	Children & Family Services
2	Crèche provision for Children's Centres	Provision of creche service to children's centres once a programme of support sessions has been organised.	£90,000	Exception - Rule 6(b)(i)	Pending the outcome of review of the Department's Early Help offer and given the uncertainty about the Children's Centre programme and budgets	Yes	10/01/18	Paul Meredith	Education & Early Help Services	Children & Family Services
3	Town Planning support for promotion of land east of Lutterworth - Local Plan	Provision of advice and project co-ordination in order to secure the Local Plan allocation.	£52,000	Exception - Rule 6(b)(i)	Due to the withdrawal of the site promoter, LCC wish to retain the services of the promoters' provider who has the knowledge and expertise to meet strict Local Plan requirements.	Yes	30/08/17	Brian Roberts	Consultancy	Corporate Resources
4	Lighting Reality - specialist computer software	Provision of maintenance and support services and licences.	£40,600	Exception - Rule 6a(i) & 6b(i)	Proprietary software.	Yes	23/08/17	Phil Crossland	ICT	Environment & Transport
5	Recycling and Household Waste sites (RHWS) - Compactor Service and Repair	Provision of services to repair compactor equipment.	£167,206	Exception - Rule 6(b)(i)	To provide guaranteed access to repair services to the compaction equipment and to allow time for a formal procurement exercise be undertaken in summer 2018.	YES	08/09/17	Phil Crossland	Waste	Environment & Transport
6	Valesco Estimating - specialist computer software	Provision of maintenance and support services for computer software.	£111,800	Exception - Rule 6a(i) & 6b(i)	Proprietary software	Yes	29/09/17	Ann Carruthers	ICT	Environment & Transport
7	ACCESSION - specialist computer software	Provision of maintenance and support services for software.	£28,500	Exception - Rule 6(a)(i)	Proprietary software	YES	11/10/17	Ann Carruthers	ICT	Environment & Transport
8	The Nook Anstey	To carry out additional maintenance works alongside approved s279 works.	£30,000	Exception - Rule 6a & 6b	Developers are carrying out approved s278 works which have led to the identification of essential maintenance works over and above that the developer is required to complete. If the works are carried out by the developer at the same time as the s278 works the cost to the County Council is reduced and there will be no repeat visit (causing additional delays for drivers) or abortive works	YES	20/10/17	Ann Carruthers	Building & Civil Engineering	Environment & Transport
9	Paramics - specialist computer software	Provision of maintenance and support services for software.	£77,250	Exception - Rule 6(a)(i)	Proprietary software	YES	11/01/18	Ann Carruthers	ICT	Environment & Transport
10	SATURN - specialist computer software	Provision of maintenance and support services for software.	£93,300	Exception - Rule 6(a)(i)	Proprietary software	YES	24/01/18	Ann Carruthers	ICT	Environment & Transport
11	iManage & ASD - specialist software	Provision of maintenance and support services for software.	£47,135	Exception - Rule 6(a)(i)	Proprietary software.	YES	31/01/18	Ann Carruthers	ICT	Environment & Transport
12	Elgin - specialist computer software for traffic management linked to Roadworks.org	Provision of maintenance and support for software.	£50,000	Exception - Rule 6(a)(i) & 6(b)(i)	Proprietary software.	Yes	09/03/18	Ann Carruthers	ICT	Environment & Transport
13	Konica multi-functional devices (MFD) - photocopiers for Libraries	Provision of MFDs in libraries.	£42,525	Exception - Rule 6(b)(i)	The ESPO framework agreement used to set up the current contract does not allow for an extension. Continuation of the service is to synchronise dates to allow tendering with the corporate contract in 2019, resulting in greater efficiency of effort and value for money.	Yes	21/07/17	Jon Wilson	ICT	Adults and Communities
14	Specialist Learning Disabilities Occupational Therapy and Assistive Technology Assessment Service	Provision of Specialist Learning Disabilities Occupational Therapy and Assistive Technology Assessment Service.	£115,000	Exception - Rule 6(a)(i)	The provider is a specialist provider and an initial market assessment indicates there isn't a similar service offering all three elements of LCC requirements.	Yes	10/08/17	Jon Wilson	Social Care	Adults and Communities
15	Developing Inclusive Practice for special SEND in Early Years Settings	Increase capacity in the local early years sector- free early education entitlement (FEEE).	£40,250	Exception - Rule 6(b)(i)	DfE grant to support the continued rollout of the 30 hours free early education entitlement (FEEE) with a focus on children who have SEND and to increase capacity in the local Early Years sector. A local University has been identified by the Early Years team as the only local university that offers a Foundation Degree in SEND and the Certificate of Continuing Professional Development - Developing Inclusive Practice for SEND in Early Years (L4) and can meet the need for Leicestershire Early Years settings in respect of this training and qualification.	Yes	20/06/18	Paul Meredith	Education & Early Help Services	Children & Family Services

16	Town Planning Support for co-ordination and preparation of planning application - east of Lutterworth	To co-ordinate and prepare the outline Planning Application in parallel with the completion of the Local Plan promotion.	44750	Exception - Rule 6(b)(i)	Given the complexity of the project, the depth of knowledge and expertise the provider has to meet the programme milestone for the preparation of the Planning Application, to support the Local Plan process and protect the interest of LCC.	Yes	43266	Chris Tambini	Consultancy	Corporate Resources
17	EMME - specialist transportation computer software	Provision of maintenance and support services for software.	£53,512	Exception - Rule 6(a)(i)	Proprietary software.	Yes	07/05/18	Ann Carruthers	ICT	Environment & Transport
18	Waste treatment and disposal - clinical waste, Paint and Gypsum-based materials	The treatment and disposal of clinical waste, paint and gypsum based materials.	£64,000	Exception - Rule 6 (b)(i)	To allow time for a full procurement exercise to take place.	Yes	06/04/18	Ann carruthers	Waste	Environment & Transport

Appendix A - Contract Procedure Rules - Rule 30 Approved Contract Modification & Extension 1 July 2017 to 30 June 2018

Ref No.	Contract Title	Contract Description (if required)	Total Contract Value (£)	Approval Type	Rationale	Approved? (Y/N)	Approval Date	Approved By	Category Group	Department
1	Provision of small works and minor buildings adaptations	Provision of small works and minor buildings adaptations.	£1,895,831	Extension - Rule 30 (h)	To allow an appraisal to be completed to inform the future of both in-house and outsourced ASC minor works delivery.	Yes	11/10/17	Brian Roberts	Building & Civil Engineering	Corporate Resources
2	Residential Reablement Pilot/Service	Residential Reablement Pilot/Service.	£589,148	Extension - Rule 30 (h)	To allow commissioners time to design a specification and sourcing approach to go out to the wider market.	Yes	14/08/17	Brian Roberts	Social Care	Adults and Communities
3	Provision of Deprivation of Liberty Safeguards Services (DOLS)	Provision of Deprivation of Liberty Safeguards Services .	£465,000	Extension - Rule 30 (h)	To allow engagement with the current providers to develop a new pricing model that is cost effective and to adopt the most suitable procurement route.	Yes	16/11/17	Brian Roberts	Social Care	Adults and Communities
4	Home Care Framework	Domiciliary care services.	£141,950,000	Extension - Rule 30 (h)	A transitional arrangement to ensure continuity of care during contract mobilisation of lead provider arrangements	Yes	28/03/18	Brian Roberts	Social Care	Adults and Communities
5	Signposting and Community Support Service	Provision of signposting and Community Support Service.	£967,829	Extension - Rule 30 (h)	To enable continuity of existing levels of service and plan for a re-procurement.	Yes	01/03/18	Brian Roberts	Social Care	Chief Executives
6	Foster Carer Assessments (Form Fs) and Prospective Adopter Reports (PARs)	Foster Carer Assessments (Form Fs) and Prospective Adopter Reports (PARs).	£88,200	Variation - Rule 30 (c)	To allow time for an effective procurement process to be adopted whilst maintaining service in a critical area.	Yes	24/04/18	Chris Tambini	Children's Social Care	Children & Family Services
7	Management of the Whetstone transfer station and Recycling and household waste Site	Management of the Whetstone transfer station and Recycling and household waste Site.	£10,381,000	Variation - Rule 30 (c)	To extend a variation to an existing contract and allow time for completion of a new contract for the bulk haulage of this waste scheduled to be in place in 2019. Procurement exercise commenced in 2018.	Yes	20/06/18	Chris Tambini	Waste	Environment & Transport

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